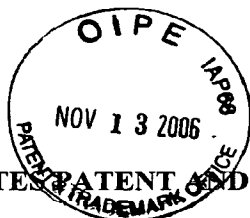


Receipt date: 11/13/2006

10589189 - GAU: 3765  
JFW

Docket No. 294888US0PCT



**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

IN RE APPLICATION OF: Katsuhiko IKEDA, et al.

SERIAL NO: 10/589,189

GAU:

FILED: August 11, 2006

EXAMINER:

FOR: CARBON FIBER PRECURSOR FIBER BUNDLE, PRODUCTION METHOD AND PRODUCTION DEVICE THEREFOR, AND CARBON FIBER AND PRODUCTION METHOD THEREFOR

**INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97**

COMMISSIONER FOR PATENTS  
ALEXANDRIA, VIRGINIA 22313

SIR:

Applicant(s) wish to disclose the following information.

**REFERENCES**

- ☐ The applicant(s) wish to make of record the references listed on the attached form PTO-1449. Copies of the listed references are attached, where required, as are either statements of relevancy or any readily available English translations of pertinent portions of any non-English language references.
- ☐ A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

**RELATED CASES**

- ☒ Attached is a list of applicant's pending application(s), published application(s) or issued patent(s) which may be related to the present application. In accordance with the waiver of 37 CFR 1.98 dated September 21, 2004, copies of the cited pending applications are not provided. Cited published and/or issued patents, if any, are listed on the attached PTO form 1449.
- ☐ A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

**CERTIFICATION**

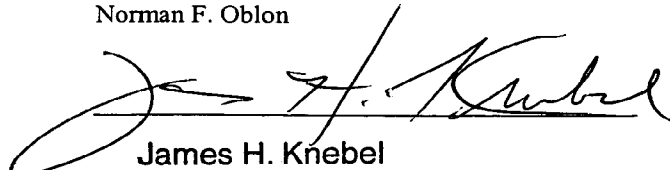
- ☐ Each item of information contained in this information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.
- ☐ No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this statement.

**DEPOSIT ACCOUNT**

- ☒ Please charge any additional fees for the papers being filed herewith and for which no check or credit card payment is enclosed herewith, or credit any overpayment to deposit account number 15-0030. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

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(OSMMN 05/03)

Receipt date: 11/13/2006

10589189 - GAU: 3765

SHEET 1 OF 1

Form PTO 1449 (Modified)		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		ATTY DOCKET NO. 294888US0PCT		SERIAL NO. 10/589,189	
LIST OF REFERENCES CITED BY APPLICANT				APPLICANT Katsuhiko IKEDA, et al.			
				FILING DATE August 11, 2006		GROUP	
<b>U.S. PATENT DOCUMENTS</b>							
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
/AV/	AA	US 2004/0155377 A1	08/12/2004	KASABO, et al.			
/AV/	AB	6,733,881	05/11/2004	KASABO, et al.			
/AV/	AC	6,696,156	02/24/2004	KASABO, et al.			
/AV/	AD	6,641,915	11/04/2003	KASABO, et al.			
/AV/	AE	6,610,403	08/26/2003	KASABO, et al.			
/AV/	AF	6,503,624	01/07/2003	IKEDA, et al.			
/AV/	AG	6,245,423	06/12/2001	IKEDA, et al.			
	AH						
	AI						
	AJ						
	AK						
	AL						
	AM						
	AN						
<b>FOREIGN PATENT DOCUMENTS</b>							
		DOCUMENT NUMBER	DATE	COUNTRY	TRANSLATION		
					YES	NO	
	AO						
	AP						
	AQ						
	AR						
	AS						
	AT						
	AU						
	AV						
<b>OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.)</b>							
	AW						
	AX						
	AY						
	AZ					<input type="checkbox"/> Additional References sheet(s) attached	
Examiner /Amy Vanatta/					Date Considered 03/18/2010		

\*Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.



LIST OF RELATED CASES CITED BY  
APPLICANT UNDER 37 CFR 1.56

Docket No.: 294888US0PCT

Serial No.: 10/589,189

Inventor: Katsuhiko IKEDA, et al.

Filing Date: August 11, 2006

Group:

**LIST OF RELATED CASES**

<u>Examiner Initial</u>	<u>Docket No.</u>	<u>Serial or Patent Number</u>	<u>Filing or Issue Date</u>	<u>Patent App. Publication No.</u>	<u>Inventor or Applicant</u>
/AV/	294888US0PCT*	10/589,189	08/11/06		IKEDA, et al.
/AV/	248554US0DIV	10/774,605	02/10/04	US2004/0155377 A1	KASABO, et al.
/AV/	237272US0DIV	6,733,881	05/11/04		KASABO, et al.
/AV/	237270US0DIV	6,696,156	02/24/04		KASABO, et al.
/AV/	230321US0PCT	6,641,915	11/04/03		KASABO, et al.
/AV/	216564US0PCT	6,610,403	08/26/03		KASABO, et al.
/AV/	210128US0	6,503,624	01/07/03		IKEDA, et al.
/AV/	192903US0	6,245,423	06/12/01		IKEDA, et al.

Examiner /Amy Vanatta/

Date Considered 03/18/2010